

Applicant: Penn Fishing Tackle Manufacturing Co.

Title: DRAG APPARATUS FOR CONVENTIONAL AND SPINNING REELS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c))- SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: Penn Fishing Tackle Manufacturing Company

ADDRESS OF SMALL BUSINESS CONCERN: 3028 West Hunting Park Avenue

Philadelphia, PA 19132

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has power to control the other, or a third party or parties controls or has power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled:

DRAG APPARATUS FOR CONVENTIONAL AND SPINNING REELS

by inventor Herbert Henze and described in the specification filed herewith with title as listed above.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by

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fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Herbert Henze

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 4130 Timber Lane, Philadelphia, PA 19144

SIGNATURE Herbert O Henze DATE June 13, 2000

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Attorney's Docket No.: 6986-20035

**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

As a below-named inventor, I hereby declare that this is an original declaration for a patent application.

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled: **DRAG APPARATUS FOR CONVENTIONAL AND SPINNING REELS**, the specification, claims and informal drawings of which are attached hereto.

**ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56, and which is material to the examination of this application.

**POWER OF ATTORNEY**

I hereby appoint the following attorney to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Frank J. Benasutti, Esquire

Reg. No. 24,155

*Send correspondence to:*

*Direct telephone calls to:*

Benasutti, P.A.  
17294 Bermuda Village Drive  
Boca Raton, FL 33487

Frank J. Benasutti, Esquire  
Tel.: 561-994-5959  
Fax: 561-994-5990

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## DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title of the United States Code, and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

## SIGNATURE(S)

**Full name of sole or first joint inventor:**

Herbert  
Given name

\_\_\_\_\_  
Middle initial or name

Henze  
Family (or last) name

Inventor's signature: Herbert D. Henze

Date: June 13, 2000 Country of Citizenship: USA

Residence: 4130 Timber Lane, Philadelphia, PA 19144

**Full name of second joint inventor:**

\_\_\_\_\_  
Given name

\_\_\_\_\_  
Middle initial or name

\_\_\_\_\_  
Family (or last) name

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_ Country of Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_